



PUBLIC INVESTMENT
CORPORATION®

Est. 1911

PIC019/2019:

**REQUEST FOR PROPOSAL TO
APPOINT A SERVICE PROVIDER TO
CONDUCT NETWORK VULNERABILITY
ASSESSMENTS AND PENETRATION
TESTING. THE CONTRACT PERIOD FOR
THE SERVICE IS THREE (3) YEARS WITH
THE OPTION TO EXTEND FOR A FURTHER
YEAR**

The Public Investment Corporation (PIC) is the investment arm of the government which has various public sector clients, the biggest being the Government Employees Pension Fund (GEPF). The PIC is empowered by its clients' mandates to invest funds on their behalf in various asset classes with an objective to get good returns, while it simultaneously advances the developmental investment agenda. The PIC also has a presence in some countries outside South Africa which are on the African continent and it competes with the best in the world.

In order to preserve its institutional memory, the PIC has a responsibility to keep, protect, and secure its records.

The PIC's Internal Audit Department (IAD) requires the services of an organisation that has specialist expertise in the IT security field to perform vulnerability assessments and penetration tests on the PIC's IT environment over a three (3) year period, with an option to extend for a further year at the instance of the PIC. The objective is to identify exploitable vulnerabilities within the target systems and recommend ways of addressing the identified gaps.

A Compulsory Briefing session will be held on 25 February 2020 at, 11:00 AM, Menlyn Maine Central Square, Corner Aramist Avenue & Corobay Avenue, Waterkloof Glen Extension 2.

Note: Attendees must be seated at 11:00AM, no late comers will be allowed.

Bid documentation will be available on the PIC website, www.pic.gov.za from 17 February 2020.

Closing date for the bid submission: 20 March 2020, Closing time: 11:00 AM
For submission at:

Public Investment Corporation SOC Limited

Menlyn Maine Central Square, Ground Floor, next to ABSA Bank, 2 nd Floor

Corner Aramist Avenue & Corobay Avenue
Waterkloof Glen Extension 2

Enquiries: tenders@pic.gov.za

Bid Number : RFP: PIC 019/2019

REQUEST FOR PROPOSAL TO APPOINT A SERVICE PROVIDER TO CONDUCT NETWORK VULNERABILITY ASSESSMENTS AND PENETRATION TESTING. THE CONTRACT PERIOD FOR THE SERVICE IS THREE (3) YEARS WITH THE OPTION TO EXTEND FOR A FURTHER YEAR

Closing Date : 20 March 2020

Place of Submission : PIC Building, Public Investment Corporation

Menlyn Maine Central Square

Corner Aramist Avenue & Corobay Avenue

Waterkloof Glen Extension 2

Pretoria, South Africa

**Compulsory Briefing Session: 25 February 2020,
11:00AM**

Venue: PIC Building

Validity period: 120 days from date of closing

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1 DEFINITIONS AND ABBREVIATIONS

B-BBEE means black broad-based economic empowerment

B-BBEE Status Level of contributor means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of good practice on Black Economic Empowerment, issues in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act.

Bid means a written offer in a prescribed or stipulated form in response to an invitation by PIC for the provision of goods and services, through price quotations, advertised competitive tendering processes or proposals

Broad Based Black Economic Empowerment Act means the Broad Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003).

Consortium or joint venture means an association of persons for the purpose of combining their expertise, property, capital, skill and knowledge in an activity for the execution of a contract

Contract means the agreement that results for the acceptance of a bid by PIC

Functionality means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, taking into account among other factors, the quality, reliability, viability and durability of a service and the technical capacity and ability of a bidder.

Management means an activity inclusive of control and performed on a daily basis, by any person who is a principal executive officer of the company, by whatever name that person may be designated, and whether or not that person is a director

Ownership means the percentage ownership and control, exercised by individuals within an enterprise.

Validity Period: Time it will take to finalise the adjudication process from the closing date to the adjudication of the bid.

PPPFA means the Preferential Procurement Policy Framework Act

SANAS means South African National Accreditation System

2 INTRODUCTION

The Public Investment Corporation (PIC) is the investment arm of the government which has various public sector clients, the biggest being the Government Employees Pension Fund (GEPF). The PIC is empowered by its clients' mandates to invest funds on their behalf in various asset classes with an objective to get good returns, while it simultaneously advances the developmental investment agenda. The PIC also has a presence in some countries outside South Africa which are on the African continent and it competes with the best in the world.

3 OBJECTIVE AND SCOPE OF WORK

The PIC's Internal Audit Department (IAD) requires the services of an organisation that has specialist expertise in the IT security field to perform vulnerability assessments and penetration tests on the PIC's IT environment over a three (3) year period, with an option to extend for a further year at the instance of the PIC. The objective is to identify exploitable vulnerabilities within the target systems and recommend ways of addressing the identified gaps.

The scope of the services required per each scan cycle includes an internal network security assessment, an external infrastructure assessment and a security assessment of one web application. Performing Denial of Service attacks is excluded from the scope of the work.

The type of test to be performed is a grey box vulnerability assessment and penetration test in which the service provider will be provided with minimal information such as physical access to the building, the IP address ranges that should be targeted, and the network diagram. In respect of the Internal Assessment, the service provider will be required to first try to bypass the network perimeter security during the first part of each test, and if an unsuccessful after an agreed number of days with the client, they will then be granted access to the network to complete the test ensure the objectives of each test will still be achieved.

In each assessment, the service provider will also be expected to test whether any findings raised from previous assessments (by any service provider or assurance provider) have been fully addressed.

At the end of each assessment, the service provider must produce a detailed report outlining the vulnerabilities identified, the risks associated with those vulnerabilities, and the remediation/corrective steps to address those vulnerabilities, as well as an executive type report which captures the issues in a language/form that executives can understand.

The successful service provider will be expected to document evidence of all the work performed and hand it over to the PIC Internal Audit team. Each of the supporting documents or working papers provided to PIC IAD must clearly indicate the analyst who performed the work, the person who reviewed the work and the dates when each assessment was performed.

The scans will be performed a maximum of two times per financial year, and the scheduling will be communicated to the successful bidder. The PIC has budgeted the following number of days for the scans per year over the three year period.

System / network component to be assessed	Number of days per year
Internal Network	30
External Network	10
Web application	10

As part of the engagement, the service provider will be expected to transfer skills to selected members of the PIC IT Audit team through provision of relevant training and working with the team during the subsistence of the agreement.

4 EVALUATION CRITERIA

Proposals will be evaluated in the following three Phases:

- **Phase 1:** Compliance with administrative requirements
- **Phase 2:** Evaluation of proposals in terms of functionality
- **Phase 3:** Price and B-BBEE scoring in terms of PPPFA. 80/20 Preference Procurement points system as stipulated in the Preferential Procurement system. 80 Price and 20 B-BBEE status level of contribution.

PHASE 1

4.1 Administrative requirements

- **The bidder must comply with the following requirements to proceed to the next stage of evaluation: -**

Submission of:

- A valid Tax Clearance Certificate/ Tax PIN.
- B-BBEE status level certificate –Accredited by SANAS or IRBA BEE verification agencies,
- EME's with an annual total revenue of R10 million or less are required to obtain a sworn affidavit or certificate issued by companies intellectual Property Commission (CIPC)
- CVs of the team that will be utilised to provide the service to PIC including certified copies of all candidates' qualifications.
- Signed declaration of interest document
- Latest audited financial statements
- Completed and signed Company Information document and submission of all the required documentation as stipulated in the company profile document
- Acceptance of the conditions as stipulated in the bid document
- Submission of one (1) original and four (4) copies of the bid document and a separate envelope for pricing quotation.(Original tax clearance should be in the original pack)
- All documents **should be indexed**, clearly marked with bid number, indicate original or copy (1, 2, 3, 4). Technical and administrative requirements (one document) and should be clearly separated with heading Administrative and Technical.

- The CSD (Central Supplier Database) is a single source of all supplier information for all spheres of government and all suppliers engaging with the PIC should be registered on the CSD.
- **Kindly enclose your CSD registration number and submit CSD registration document.** _____

PHASE 2

4.2 Functionality

All Bidders who meet the above administrative requirements will then proceed to be evaluated in terms of Functionality.

Criteria	Requirements from service providers	Weighting	Scoring Matrix
Qualifications of team	<ol style="list-style-type: none"> 1. CV's to be provided and certified copies of IT security certifications 2. The consultant(s) who will be assigned to perform the work must at a minimum have OSCP or CEH certification and at least one additional security certification such as CISSP and CCNA. Certified copies of the above certifications must be submitted together with the bid response. 	10%	<p>5 = a. lead consultant has OSCP or CEH certification and at least 3 other security certifications</p> <p>b. Analyst(s) have OSCP or CEH and at least two other security certifications</p> <p>4 = a. lead consultant has OSCP or CEH certification and at least two other IT security certifications</p> <p>b. Analyst(s) have OSCP or CEH and at least one other IT security certification</p> <p>3.5 = Lead consultant and security analyst(s) each has OSCP or CEH certification and at least one other security certifications</p>

			<p>3 = Lead consultant and security analyst(s) each has OSCP or CEH certification</p> <p>2 = Lead consultant and security analyst(s) each has CISM or CISSP certification and at least one other IT security certification.</p> <p>1 = Lead consultant and security analyst(s) each has CISM or CISSP certification</p>
Experience of company	<p>Company profile to be submitted</p> <p>The prospective bidder or service provider must have at least ten (10) years' of specialised experience in the IT Security field offering similar services required in this bid.</p>	10%	<p>5 = company has 12+ years of specialised experience in IT security field offering similar services</p> <p>4 = company has 11+ years of specialised experience in IT security field offering similar services</p> <p>3.5 = company has 10+ years of specialised experience in IT security field offering similar services</p> <p>3 = company has 9+ years of specialised experience in IT security field offering similar services.</p> <p>2 = company has 8+ years of specialised experience in IT security field offering similar services</p> <p>1 = company has < 8 years of specialised experience in IT security field offering similar services</p>
Experience of Team	<p>CVs to be submitted</p> <p>The lead consultant must have at least ten (10) years' experience, and all other team members must have at least 5 years experience, of performing vulnerability assessments and penetration tests (VAP).</p>	20%	<p>5 = Lead consultant has 12+ years experience, and each team member has 7+ years experience in IT security field performing VAP</p> <p>4 = Lead consultant has 11+ years experience, and each team member has 6+ years</p>

			<p>experience in IT security field performing VAP</p> <p>3.5 = Lead consultant has 10+ years experience, and each team member has 5+ years experience in IT security field performing VAP</p> <p>3 = Lead consultant has 9+ years experience, and each team member has 4+ years experience in IT security field performing VAP</p> <p>2 = Lead consultant has 8+ years experience, and each team member has 3+ years experience in IT security field performing VAP</p> <p>1 = Lead consultant has 7+ years experience, and each team member has 2+ years experience in IT security field performing VAP</p>
Project execution methodology	Proposals must include comprehensive execution methodology, including some value adding post assessment activities such as provision of security advisory / cross skilling of internal audit team and presentation of reports to senior management.	40%	<p>5 = comprehensive execution methodology documented which includes provision of security advisory to Internal Audit staff and the following post assessment activities;</p> <ol style="list-style-type: none"> Presentation of reports to the IT department Presentation of reports to PIC senior management and / or governance committees upon request Attendance of Internal Audit staff to local security conferences sponsored by service provider Sharing of industry knowledge and insights through IT security papers presented at conferences. <p>3 = comprehensive execution methodology documented which includes provision of security advisory to Internal Audit staff and the following post assessment activities;</p>

			<p>a. Presentation of reports to the IT department</p> <p>b. Presentation of reports to PIC senior management and/ or governance committees upon request</p> <p>c. Attendance of Internal Audit staff to local security conferences sponsored by service provider</p> <p>3.5 = comprehensive execution methodology documented which includes provision of security advisory to Internal Audit staff and the following post assessment activities;</p> <p>a. Presentation of reports to the IT department</p> <p>b. Presentation of reports to PIC senior management and / or governance committees upon request</p> <p>3 = comprehensive execution methodology documented which includes presentation of reports to the IT department and provision of security advisory to Internal Audit staff.</p> <p>2 = high level execution methodology documented, which includes presentation of reports to the IT department.</p> <p>1 = high level execution methodology included in proposal.</p>
Reputation or track record	The service provider must include three contactable references of clients where vulnerability assessments have been performed during the current or past calendar year, preferably a mix of public and private sector clients (submission of feedback from client satisfaction surveys will be an added advantage).	20%	<p>5 = References letters from 5 clients where similar work (VAP) was performed.</p> <p>4 = References letters from 4 clients where similar work (VAP) was performed.</p> <p>3.5 = Reference letters from 3 clients where similar work (VAP) was performed</p>

			<p>3 = Details of three contactable references provided from clients where similar work (VAP) was performed</p> <p>2 = Details of two contactable references provided from clients where similar work (VAP) was performed</p> <p>1 = Details of one contactable reference provided from a client where similar work (VAP) was performed</p>
Total		100	
Minimum Threshold		70	

4.3 Pricing Proposal

- **All Bidders who meet the above minimum functional threshold of 70 will then proceed to be evaluated in terms of Pricing and BBB-EE**
- The costs for the bidder's proposed solution should be submitted on a separate document in line with the scope of work identified.
- It is the responsibility of the bidder to ensure the accuracy of the pricing of the response.
- When completing the pricing please take note of the following:
 - All pricing to be quoted in South Africa Rand.
 - Disbursement will be negotiated during contract in line with PFMA or National Treasury Regulations.

Pricing: Prices must be quoted in South African Rand exclusive of VAT

A detailed price breakdown for per annum must be attached in a separate price schedule.

Annual increases must not exceed CPI related to the specific year.

A maximum of 80 points is allocated for price on the following basis:

$$P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right) \text{ Where}$$

P = Points scored for price of bid under consideration

Pt = Rand value of bid under consideration

Pmin = Rand value of lowest acceptable bid

Broad Based Black Economic Empowerment Status Level Certificates

Bidders with annual total revenue of R 5 million or less qualify as Exempted Micro Enterprises (EMEs) in terms of the Broad-Based Black Economic Empowerment Act, and must submit a certificate issued by a registered auditor, accounting officer (as contemplated in section (60 (4) of the Close Corporation Act, 1984 or an accredited verification agency.

Bidders other than the Exempted Micro-Enterprises (EMEs) must submit their original and valid BBBEE status level verification certificate or a certified copy thereof issued by a SANAS accredited agency, substantiating their BBBEE rating.

Points will also be awarded based to a bidder for attaining their B-BBEE status level of contributor in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points 20
1	20
2	18
3	14
4	12
5	8
6	6
7	4

8	2
---	---

Ownership:

Indicate percentage of Black ownership

_____ %

Indicate a percentage of black executive management

_____ %

List of Shareholders

Name	ID No	SA Citizen	Race	Gender	Share holding %

Name	ID No	SA Citizen	Race	Gender	Share holding %
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Points scored in terms of the 80/20 preference system will be added to the points scored for % black ownership and % of black Executive Management.

Points scored will be rounded off to the nearest two decimal places.

- The bidder who scored the highest point will be awarded the bid
- In the event where two or more bidders scored equal points, the successful bidder must be the one scoring the highest preference points for BBBEE.
- However when functionality is part of the evaluation process and two or more bidders have scored equal points including equal preference points for BBBEE, the successful bidder must be the one scoring the highest for functionality.
- Should two or more bidders be equal in all respects, the award shall be decided by the drawing of lots.

5 CONDITIONS

The PIC reserve the right to conduct presentations with bidders who pass the 70 functionality points.

5.1 *JOINT VENTURES/ CONSORTIUMS

Preference will be given to bidders who form or already have existing Joint Venture/Consortium agreements in place.

The following information and documentation must be submitted:

- All information stipulated in paragraph 3 under minimum and administrative requirements must be submitted by all parties involved in the Joint – Joint BEE Certificate. Ventures/Consortiums, including ownership and executive management information.
- A percentage breakdown of the work allocation between the parties must be clearly indicated.
- A formal signed agreement indicating the leading company as well as the other company roles and responsibilities must be submitted.
- A skills transfer plan between the parties must be submitted.

5.2 NON- COMMITMENT

Public Investment Corporation (PIC) reserves the right to withdraw or amend these terms of reference by notice in writing to all parties who have received the terms of reference prior to the closing date.

The cost of preparing of bids will not be reimbursed.

5.3 REASONS FOR REJECTION

- PIC reserves the right to reject bids that are not according to specification/Terms of Reference. Bidders must clearly indicate compliance or non-compliance with specification/Terms of Reference.
- Bidders shall not contact PIC on any matter pertaining to their bid from the time the bids are closed to the time the bid has been adjudicated. Any effort by a bidder to influence the bid evaluation, bid comparisons or bid award decisions in any matter, may result in rejection of the bid concerned.
- PIC shall reject a submission if the bidder has committed a proven corrupt or fraudulent act in competing for a particular contract.

PIC may disregard any submission if that bidder, or any of its directors:

- Have abused the Supply Chain Management (SCM) system of any Government Department/ institution.
- Have committed proven fraud or any other improper conduct in relation to such system.
- Have failed to perform on any previous contract and the proof thereof exists.
- Is restricted from doing business with the public sector if such a supplier obtained preferences fraudulently or if such supplier failed to perform on a contract based on the specific goals.

5.4 CANCELLATION OF A BID

PIC may prior to the award of a bid, cancel a bid if:

- Due to changed circumstances, there is no longer a need for the goods or services requested;
- Funds are no longer available to cover the total envisaged expenditure
- No acceptable bids are received.

5.5 CLARIFICATIONS

Any clarification required by a bidder regarding the meaning or interpretation of the document, or any other aspect concerning the submission, is to be requested in writing e-mail to tenders@pic.gov.za

5.6 RECEIPT OF BIDS

Each bid shall be in writing using non-erasable ink and shall be submitted on the official document of Bid issued with the bid documents. The bid shall be submitted in a separate sealed envelope with the name and address of the bidder, the bid number and title, the bid box number (where applicable), and the closing date indicated on the envelope. The envelope shall not contain documents relating to any bid other than that shown on the envelope.

The onus shall be on the bidder to place the sealed envelope in the official marked locked bid box provided for this purpose, at the designated venue, not later than the closing date and time specified in the bid notice.

Postal bids will be accepted for consideration only if they are received in sufficient time to be lodged in the appropriate bid box by the closing time for such bids, it being

understood that PIC disclaims any responsibility for ensuring that such bids are in fact lodged in the bid box. Proof of posting of a bid will not be accepted as proof of delivery to the appropriate place for the receipt of bids.

Documents submitted on time by bidders shall not be returned and shall remain the property of the Public Investment Corporation (PIC).

5.7 LATE BIDS

Late Bids received late shall not be considered. The tender closes exactly at 11:00 AM.

Bids received late shall be returned unopened. Bidders are therefore strongly advised to ensure that bids be despatched allowing enough time for any unforeseen events that may delay the delivery of the bid.

5.8 PRESENTATIONS

PIC may require presentations from short-listed bidders as part of the bid.

5.9 SERVICE LEVEL AGREEMENT

The service level agreement will set out the administration processes, service levels and timelines.

6. INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE PUBLIC INVESTMENT CORPORATION					
BID NUMBER:	PIC019/2019	CLOSING DATE:	20 MARCH 2020	CLOSING TIME:	11:00 AM
DESCRIPTION	NETWORK & WEB APPLICATION VULNERABILITY ASSESSMENT				
BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)					
Menlyn Maine Central Square					
Corner Aramist Avenue & Corobay Avenue					
Waterkloof Glen Extension 2					
Tender Box is located on ground floor: Between ABSA and Woolworths					
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO			TECHNICAL ENQUIRIES MAY BE DIRECTED TO:		
CONTACT PERSON			CONTACT PERSON		
TELEPHONE NUMBER			TELEPHONE NUMBER		
FACSIMILE NUMBER			FACSIMILE NUMBER		
E-MAIL ADDRESS			E-MAIL ADDRESS		
SUPPLIER INFORMATION					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		

CELLPHONE NUMBER				
FACSIMILE NUMBER	CODE		NUMBER	
E-MAIL ADDRESS				
VAT REGISTRATION NUMBER				
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No: MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT	[TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]				
5.9.1.1 ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]		5.9.1.2 ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER PART B:3]
QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS				
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?			<input type="checkbox"/> YES <input type="checkbox"/> NO	

DOES THE ENTITY HAVE A BRANCH IN THE RSA?

YES

NO

DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?

YES NO

DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?

YES NO

IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?

YES NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.

PART B TERMS AND CONDITIONS FOR BIDDING

1. BID SUBMISSION:
<p>1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.</p> <p>1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.</p> <p>1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.</p> <p>1.4. THE SUCCESSFUL BIDDER WILL BE REQUIRED TO SIGN A SERVICE LEVEL AGREEMENT.</p>
2. TAX COMPLIANCE REQUIREMENTS
<p>2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.</p> <p>2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.</p> <p>2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.</p> <p>2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.</p> <p>2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.</p> <p>2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</p> <p>2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."</p>

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g. company resolution)

DATE:

6 DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state¹, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes a price quotation, advertised competitive bid, limited bid or proposal). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-

- the bidder is employed by the state; and/or
- the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

2. **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

2.1 Full Name of bidder or his or her representative:
.....

2.2 Identity Number:
.....

2.3 Position occupied in the Company (director, trustee, shareholder²):
.....

2.4 Company Registration Number:
.....

2.5 Tax Reference Number:
.....

2.6 VAT Registration Number:
.....

2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / persal numbers must be indicated in paragraph 3 below.

¹“State” means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament.

²“Shareholder” means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder **YES / NO**
presently employed by the state?

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member:

.....

Name of state institution at which you or the person

connected to the bidder is employed:

.....

Position occupied in the state institution:

.....

Any other particulars:

.....

.....

.....

2.7.2 If you are presently employed by the state, did you obtain the appropriate authority to undertake remunerative work outside employment in the public sector?

YES / NO

2.7.2.1 If yes, did you attached proof of such authority to the bid document?

YES / NO

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:

.....
.....
.....

2.8 Did you or your spouse, or any of the company’s directors / **YES / NO**
trustees / shareholders / members or their spouses conduct
business with the state in the previous twelve months?

2.8.1 If so, furnish particulars:

.....
.....
.....

2.9 Do you, or any person connected with the bidder, have **YES / NO**
any relationship (family, friend, other) with a person
employed by the state and who may be involved with
the evaluation and or adjudication of this bid?

2.9.1 If so, furnish particulars.

.....
.....
.....

2.10 Are you, or any person connected with the bidder, **YES/NO**
aware of any relationship (family, friend, other) between
any other bidder and any person employed by the state/PIC
who may be involved with the evaluation and or adjudication
of this bid?

2.10.1 If so, furnish particulars.

.....
.....
.....

2.11 Do you or any of the directors / trustees / shareholders / members **YES/NO**
of the company have any interest in any other related companies
whether or not they are bidding for this contract?

2.11.1 If so, furnish particulars:

.....
.....
.....

3 Full details of directors / trustees / members / shareholders.

Full Name	Identity Number	Personal Tax Reference Number	State Employee Number / Personal Number

DECLARATION

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE PIC MAY REJECT THE BID OR ACT AGAINST ME SHOULD THIS
DECLARATION PROVE TO BE FALSE.



PUBLIC INVESTMENT
CORPORATION®
Est. 1911

.....

Signature

.....

Date

.....

Position

.....

Name of bidder

7. COMPANY INFORMATION

Please complete the following questionnaire:

1. Company Name:

2. Other Trading Names:

3. Type of Organization: (Public Company ('Limited'), Private Company ('(Pty) Ltd'), Close Corporations ('cc'))

4. Physical and Postal Address of the Company:

Postal Code:	Postal Code:

5. Contact Details

Contact Name	
Contact Number	
Cell Number	
Email Address	
Alternative Contact	
Email Address	
Contact Number	

6. Company Information

Average no. of employees:	
Average annual turnover:	
Type of Enterprise: (e.g. Generic, Qualifying small enterprise, Exempted Micro Enterprise)	
Industry in which the entity operates:	

7. Banking Details

Banker:	
Auditor:	
Year of Establishment:	
Registration number of entity:	
Sector:	

***A letter from your bank with a bank stamp or cancelled cheque must be submitted.**

8. Tax Registration Details:

Income Tax Reference Number:	
VAT Registration Number:	
PAYE Registration Number:	

9. List of Shareholders:

***ID Documents of the Board of directors/members, owners, shareholders or executive committee must be submitted.**

*** CIPC Documents must be attached.**

B-BBEE (Broad-based Black Economic Empowerment) Status Details:

Please tick the relevant box(es):

STATUS	INDICATION
<p>The company has been independently verified (assessed / rated / certified)</p> <p><i>Please submit the B-BBEE verification certificate.</i></p>	<div style="text-align: center;"> <input data-bbox="738 875 839 976" type="checkbox"/> </div>
<p>The company is in the process of being verified. Please submit a letter from verification agency.</p> <p><i>(i.e. verification to be completed within a maximum of 2 months)</i></p>	<div style="text-align: center;"> <input data-bbox="738 1193 839 1294" type="checkbox"/> </div>

8. DECLARATION

Bidder Name: _____

Signature: _____

Designation: _____

I declare that:

- All information provided is true and correct
- The signatory of the bid document is duly authorised

- Documentary proof regarding any bid issue, will, when required be submitted to the satisfaction of the PIC

PIC will upon detecting that:

- The B-BBEE status level of contribution has been claimed or obtained on a fraudulent basis;
- Any of the conditions have not been fulfilled act against the bidder.

I understand that:

PIC may:

- Disqualify the bidder from the bidding process; and
- Recover all costs, losses and/or damages it has incurred or suffered as a result of the bidder's conduct.
- Cancel the contract and claim any damages which has been suffered as a result of having less favorable arrangements due to cancellation
- Restrict the bidder, its shareholders and directors or only shareholders and directors who acted on fraudulent basis, from obtaining business from any organ of state for a period not exceeding 10 years after audi alteram partem (hear the other side) rule has been applied; and
- Forward the matter for criminal prosecution

Thus signed and accepted on this _____ ^{st / nd / rd / th} **day of** _____ , 20____ **at**
_____:

Who warrants his / her authority hereto

For and on behalf of:
